

# Understanding Learned Representations in Deep Neural Networks without Supervision

---

Wonjoon Chang

Korea Advanced Institute of Science and Technology (KAIST), South Korea  
Statistical Artificial Intelligence Lab (SAILab)

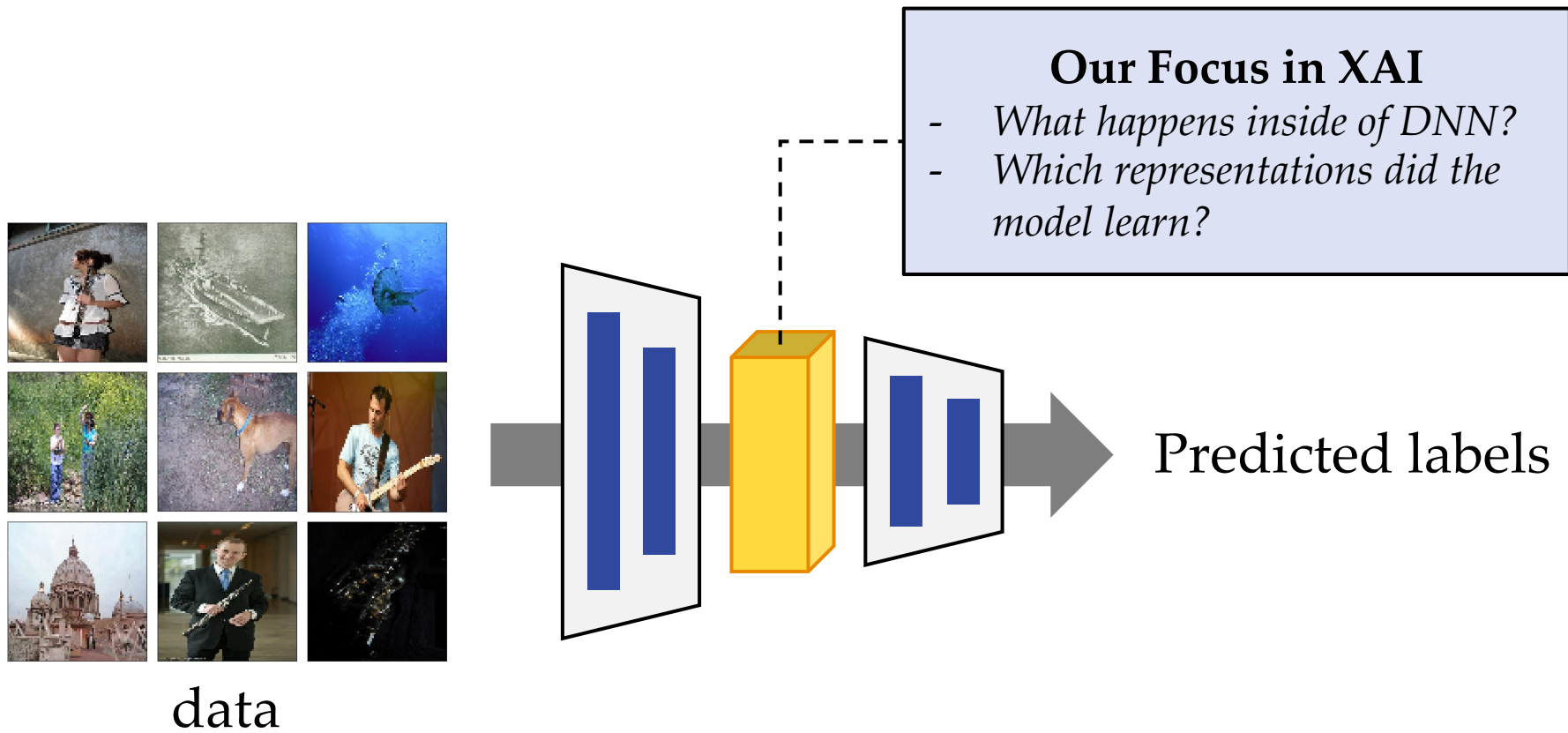
one\_jj@kaist.ac.kr

2024.03.21



# Problem

- It is important to identify the *internal representations* that DNN implicitly learned for DNN interpretability.



# Problem

- It is important to identify the *internal representations* that DNN implicitly learned for DNN interpretability.
- Understanding “*Coherent properties*” help us to explain and interpret the general behaviors of the model.

Subclass detection



misclassification

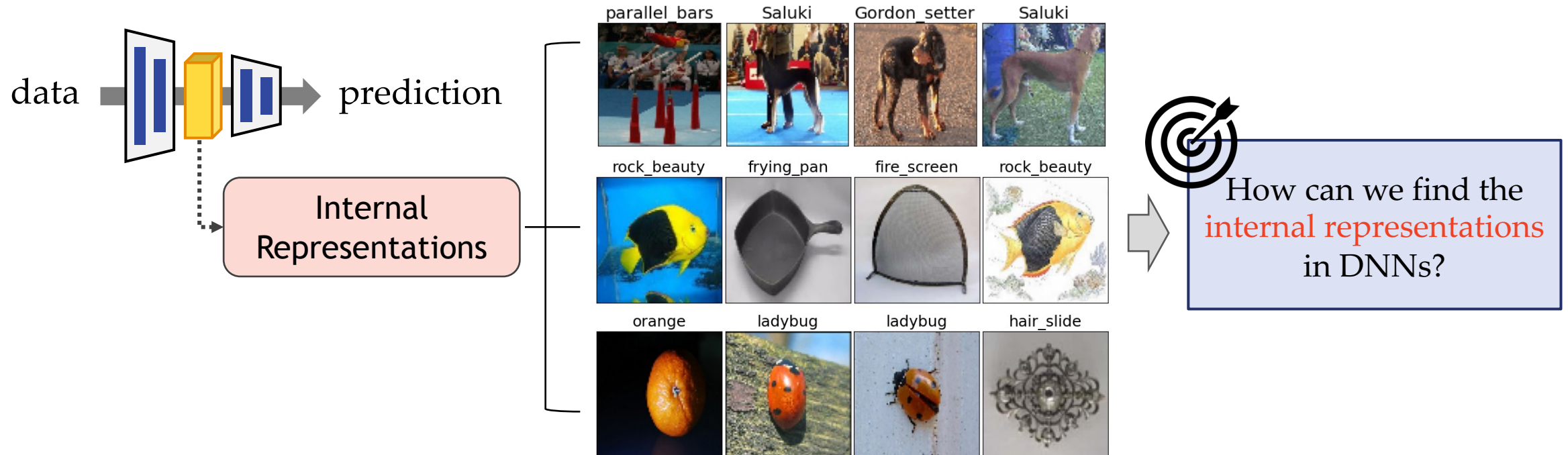


[Ground Truth]  
French bulldog

▼  
[Prediction]  
Saluki

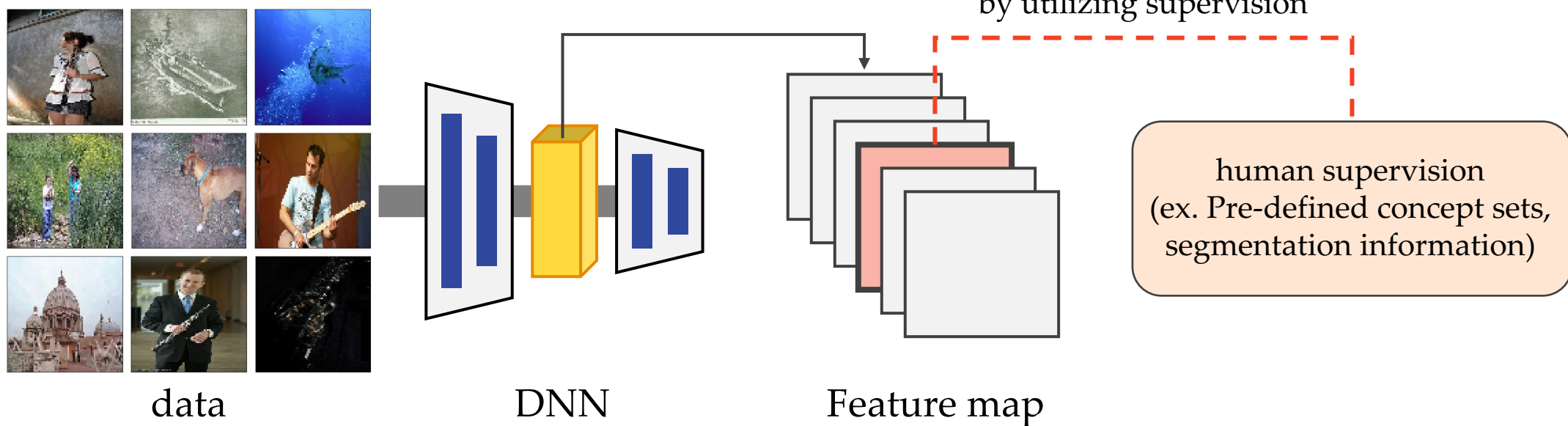
# Problem

- It is important to identify the *internal representations* that DNN implicitly learned for DNN interpretability.
- **Internal representations**  
Implicitly learned concepts that multiple instances share in the internal feature space.



# Challenges

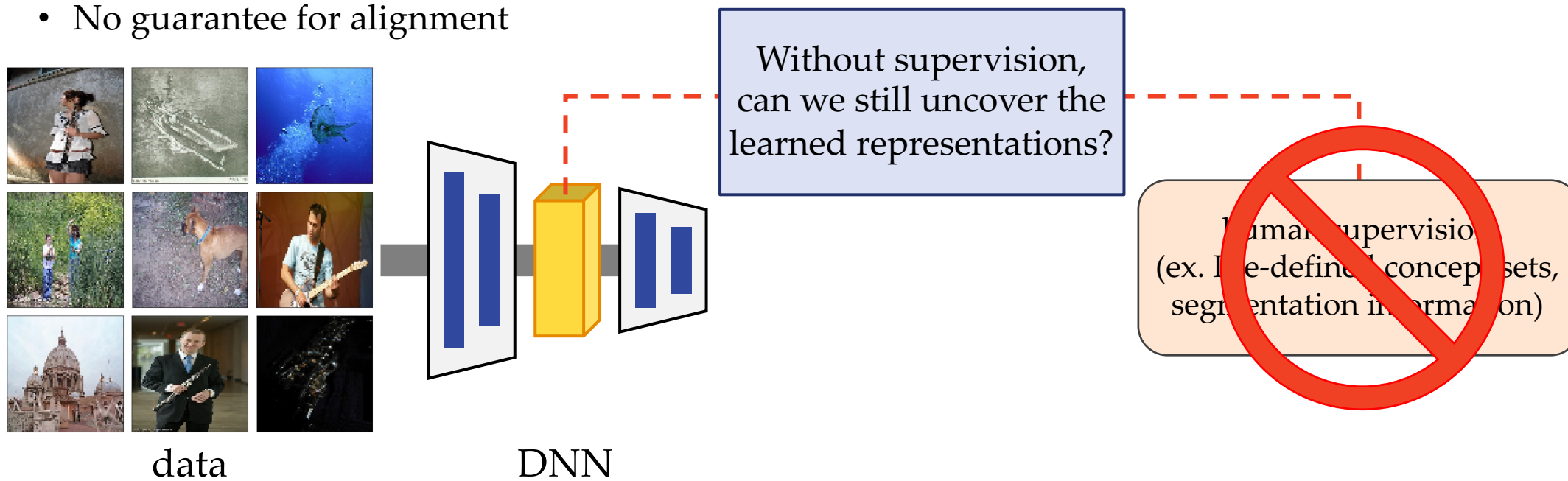
- How can we reveal learned representations in the intermediate feature space of DNN?
- Mostly, **human supervision** is necessary.
  - Substantial cost
  - No guarantee for alignment



- Network Dissection: Quantifying Interpretability of Deep Visual Representations, 2017
- Interpretability beyond feature attribution quantitative testing with concept activation vectors, 2018
- Best of both worlds: local and global explanations with human-understandable concepts, 2021

# Challenges

- How can we reveal learned representations in the intermediate feature space of DNN?
- Mostly, **human supervision** is necessary.
  - Substantial cost
  - No guarantee for alignment

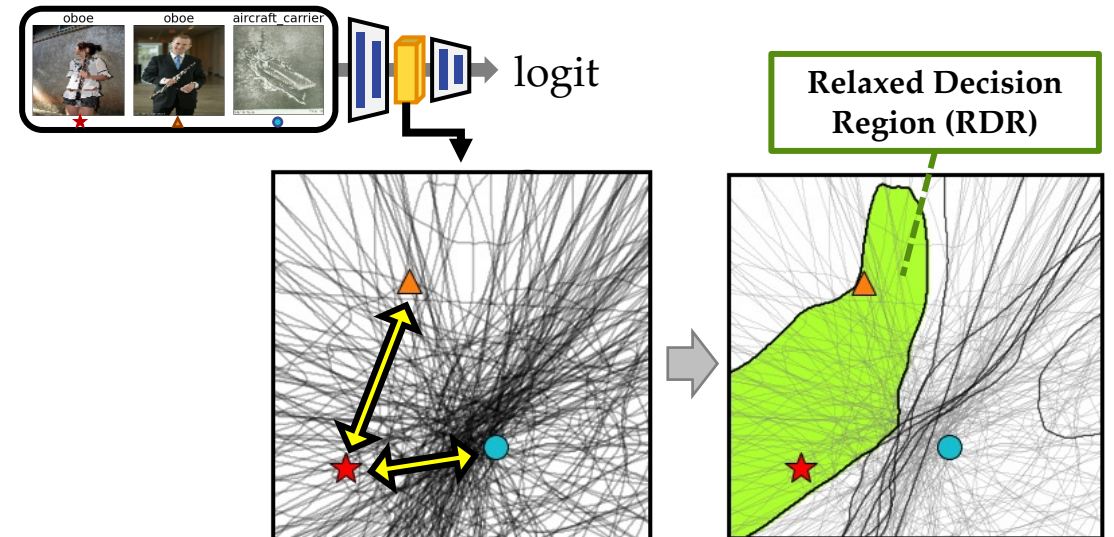
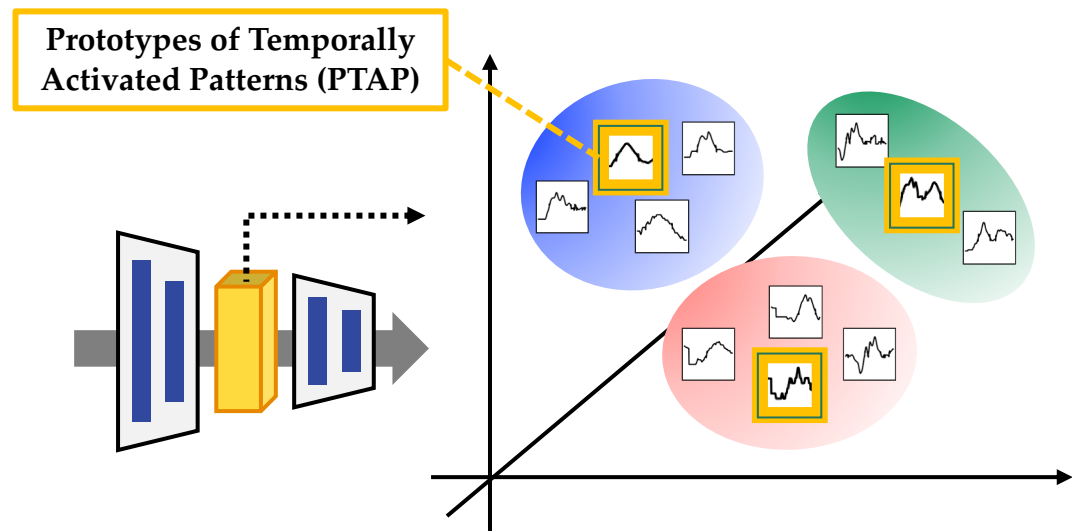


- Network Dissection: Quantifying Interpretability of Deep Visual Representations, 2017
- Interpretability beyond feature attribution quantitative testing with concept activation vectors, 2018
- Best of both worlds: local and global explanations with human-understandable concepts, 2021



# Overview

- How can we reveal **learned representations** in the intermediate feature space of DNN without human supervision?
- Our work
  1. Interpreting Internal Activation Patterns in Deep Temporal Neural Networks by Finding **Prototypes** (KDD-21)
  2. Understanding Distributed **Representations of Concepts** in Deep Neural Networks without Supervision (AAAI-24)



# Interpreting Internal Activation Patterns in Deep Temporal Neural Networks by Finding Prototypes

---

Sohee Cho\*, Wonjoon Chang\*, Ginkyeng Lee, Jaesik Choi

Korea Advanced Institute of Science and Technology (KAIST), South Korea

Statistical Artificial Intelligence Lab (SAILab)

\* Equal Contribution





# Challenges

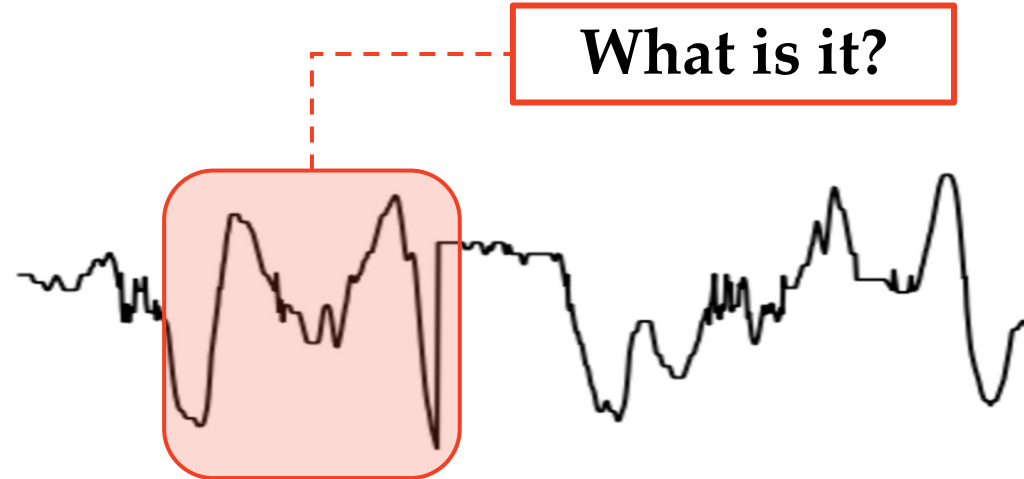
- How can we reveal implicit representations in the intermediate feature space of DNN? → Human supervision (semantic labels) may be helpful.
- But, in **time series** data, there is usually **no labels for representatives**.

It is classified to the  
Bicycle due to 'Wheels'



Image

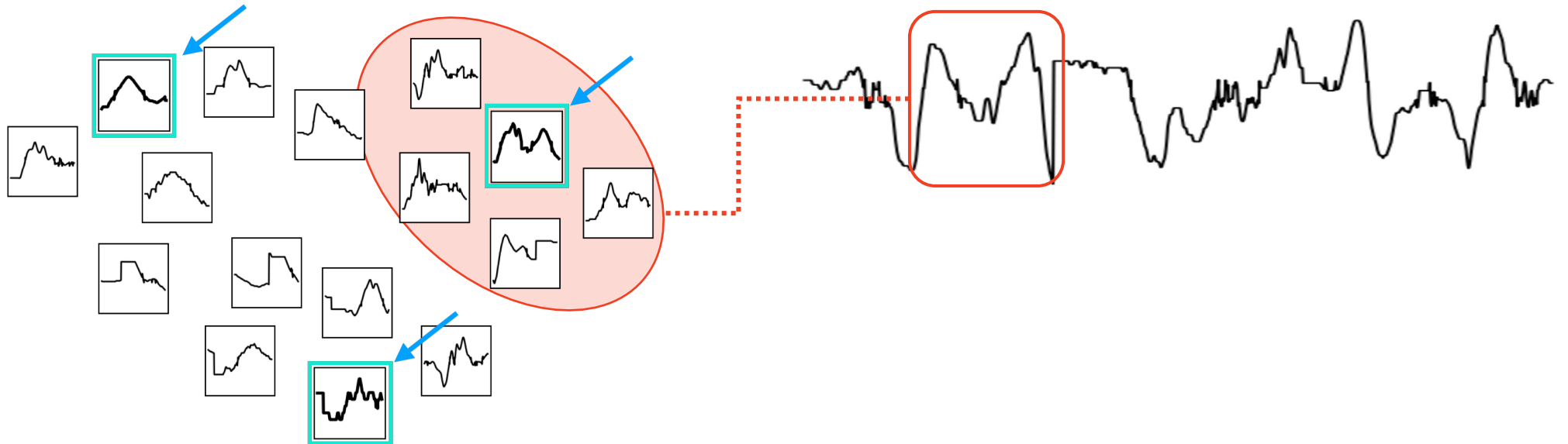
What is it?



Time series

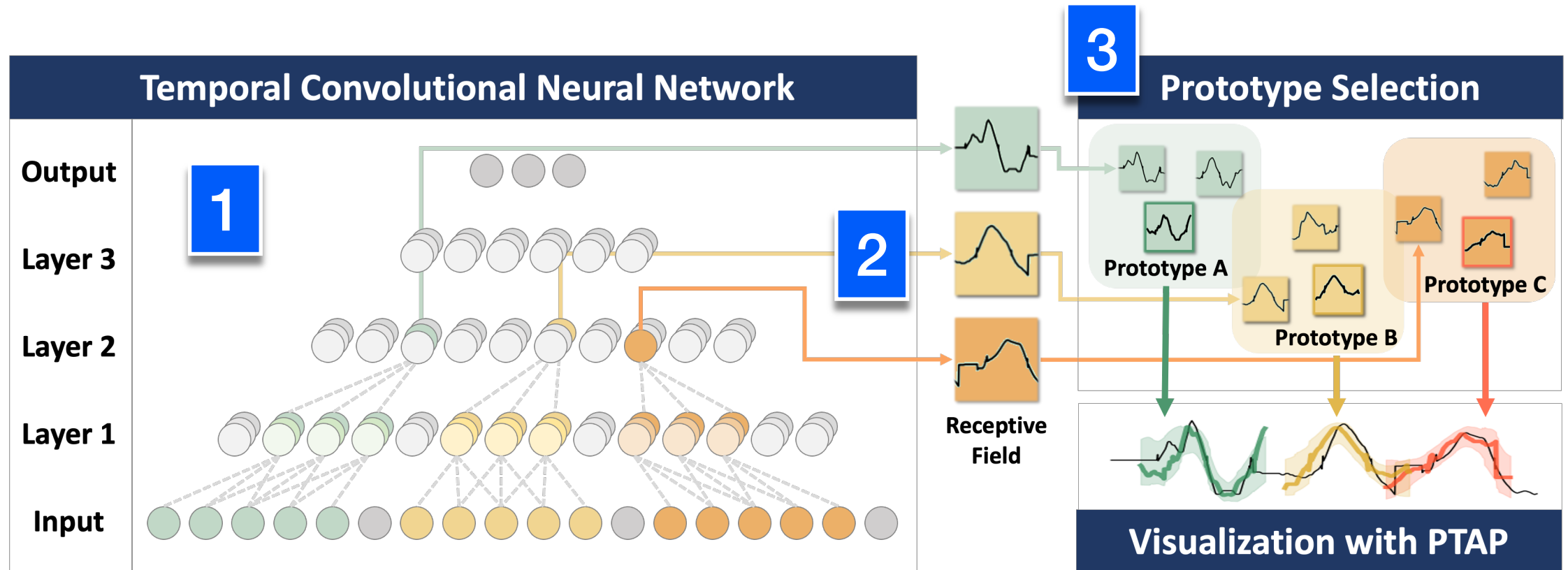
# Challenges

- In time series data, there is usually no labels for representative patterns.
- Representative examples (**Prototypes**) help to understand captured patterns in data and summarize the distribution of patterns.
- How can we find appropriate **representative examples in time series**?




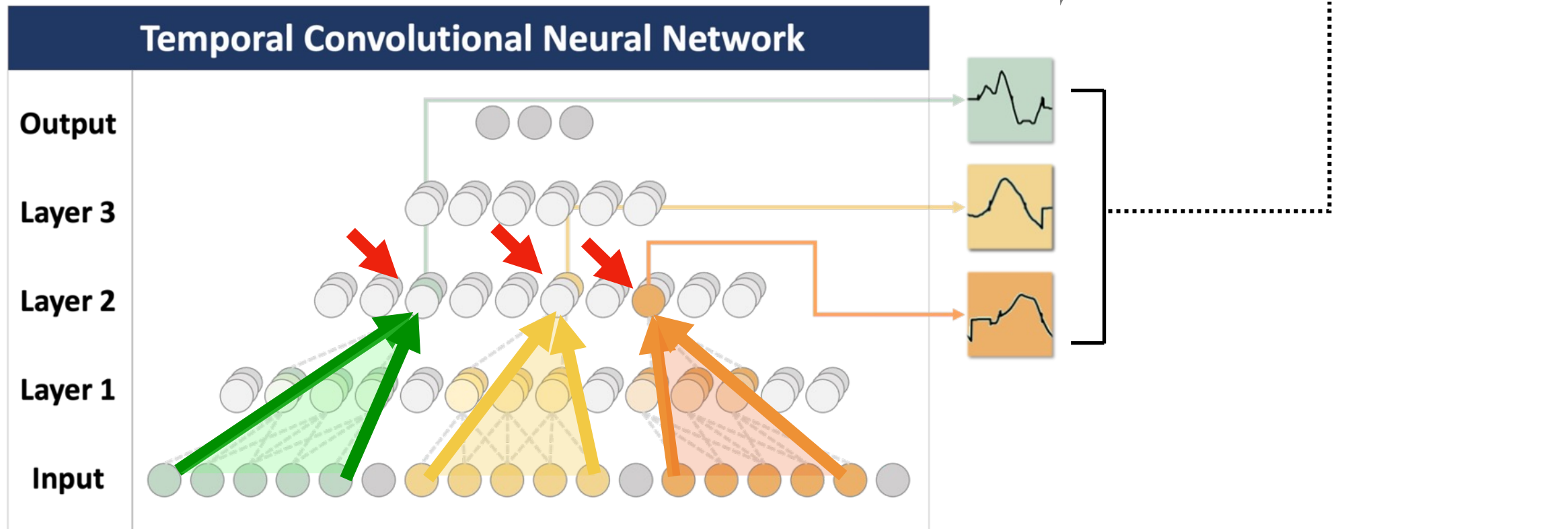
# Overview – Prototypes of Temporally Activated Patterns

- Find appropriate representative temporal patterns by selection prototypes from highly activated subsequences.




# Subsequence Extraction

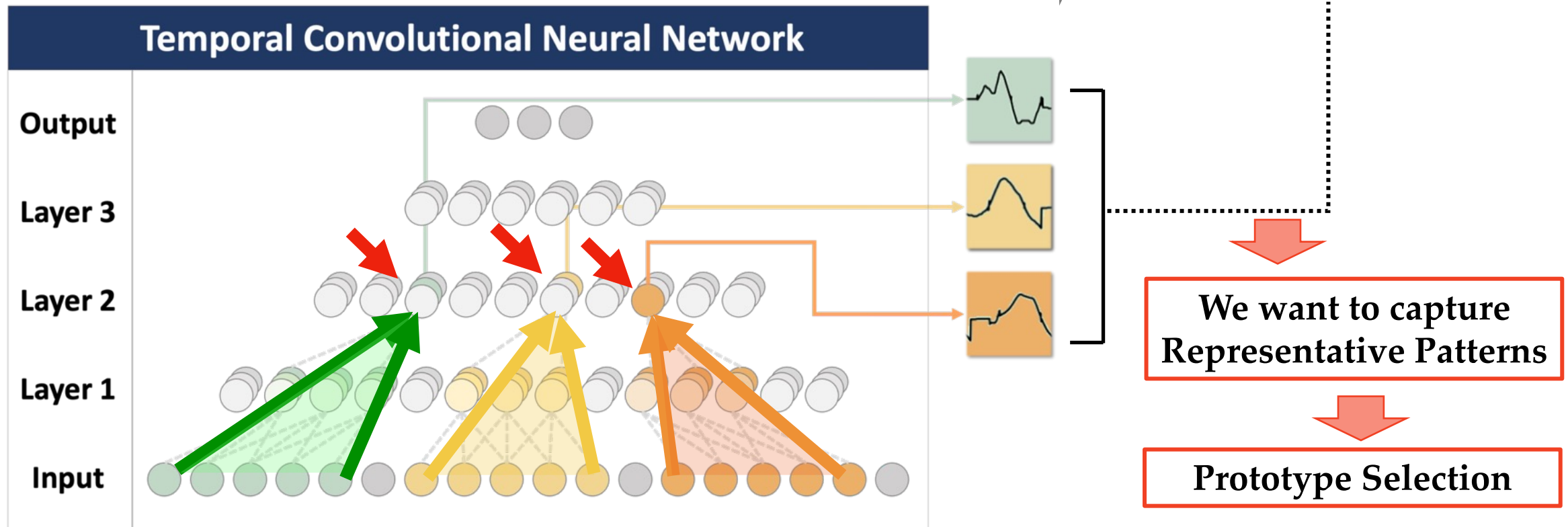
- Given a trained CNN for time series classification, find temporal indices that have **highly activated** nodes from data.
- Each temporal index has a subsequence on its receptive field.  **Temporally Activated Pattern (TAP)**



# Subsequence Extraction

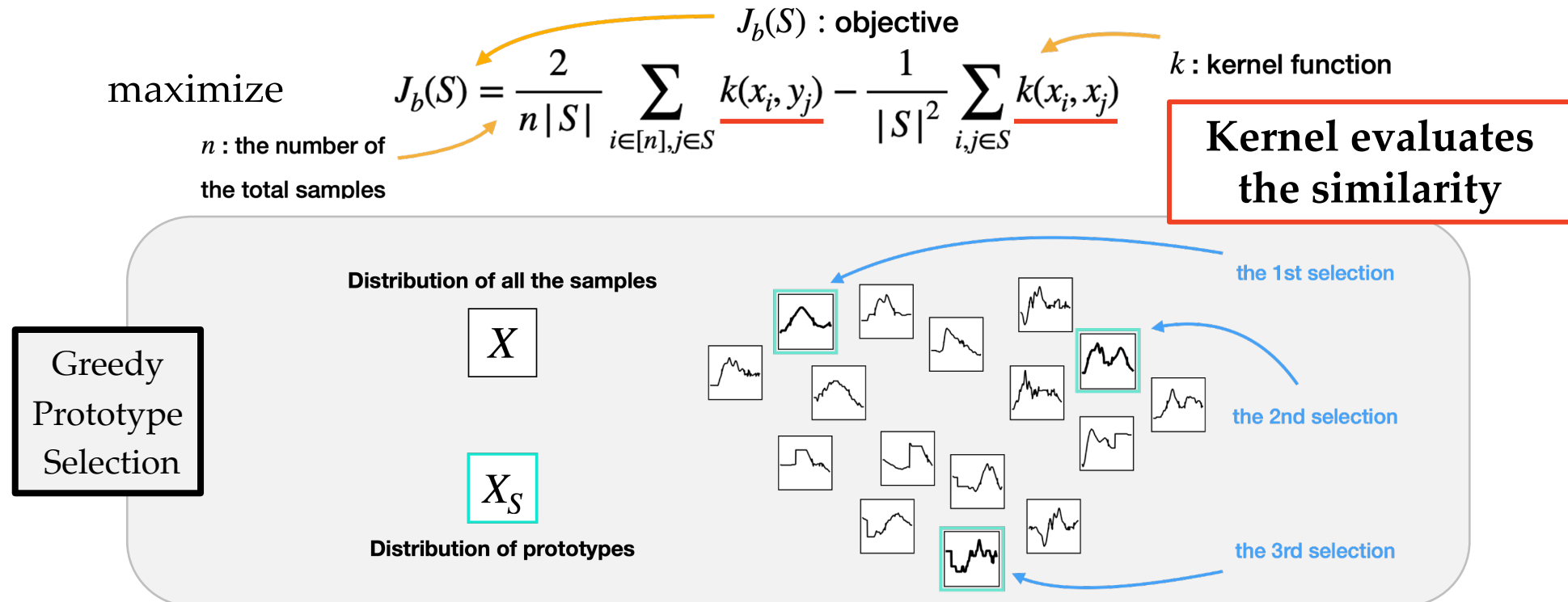
- Given a trained CNN for time series classification, find temporal indices that have **highly activated** nodes from data.
- Each temporal index has a subsequence on its receptive field. 

**Temporally Activated Pattern (TAP)**



# Prototype Selection

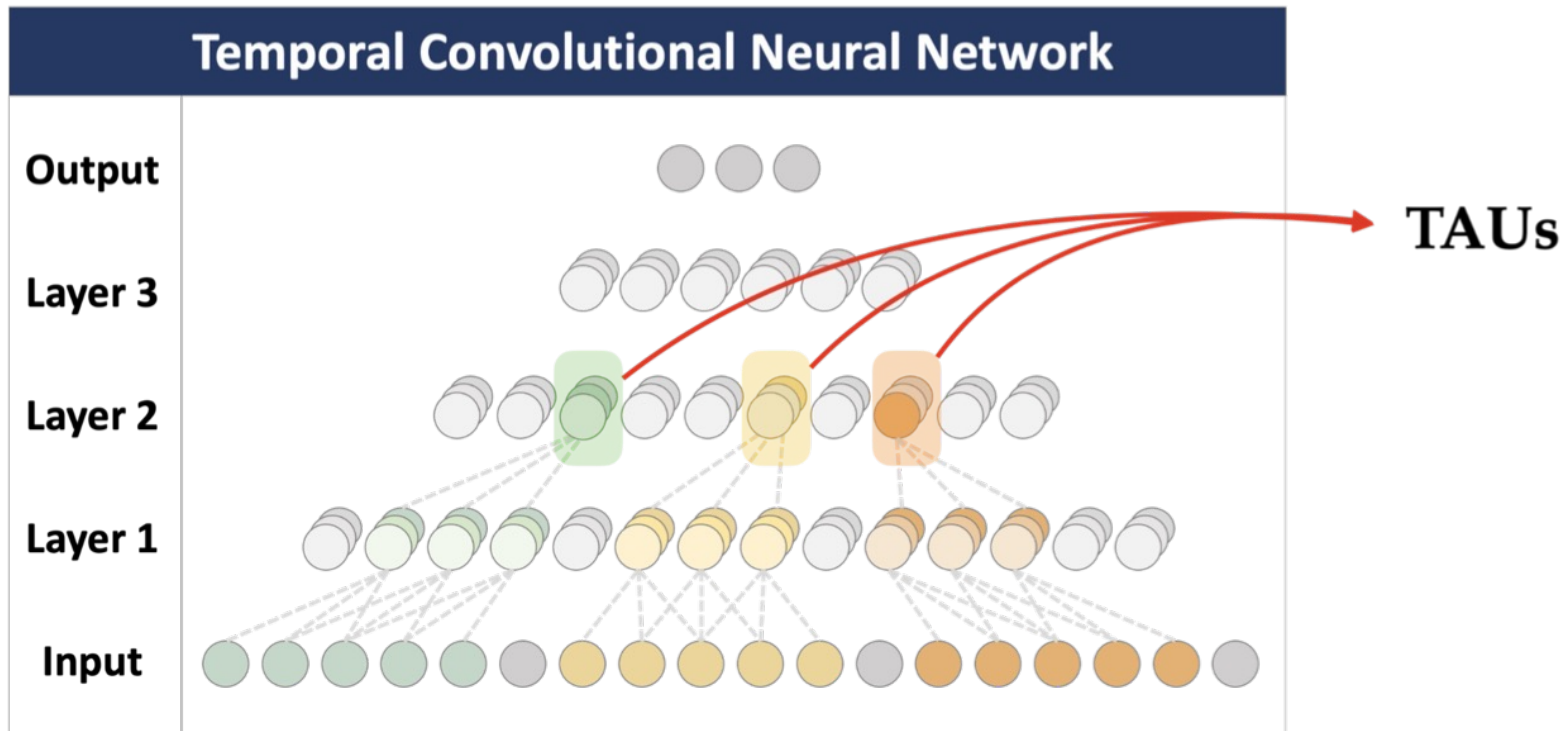
- How can we choose good examples (prototypes) to represent temporal patterns from Temporally Activated Patterns (TAPs)?
- Efficient greedy algorithm to select prototypes from high dimensional data





# Feature-based Similarity

- Can we utilize the feature vectors in the internal nodes during prototype selection?
- **Temporally Activated Unit (TAU)** : the feature vector at the specific temporal point

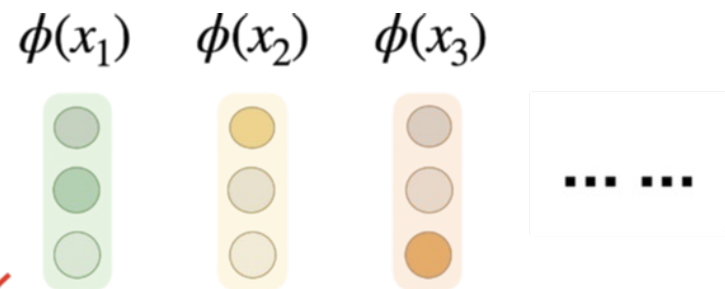
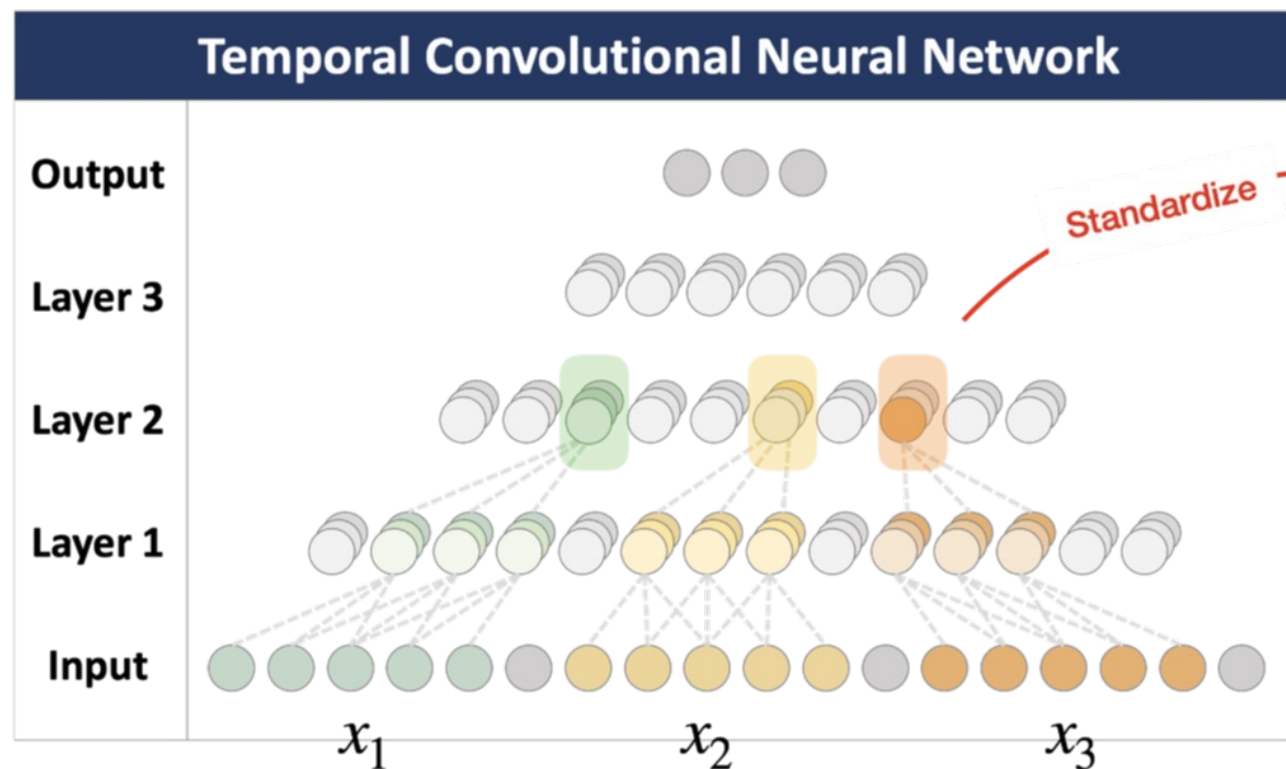


# Gram Kernel Matrix

- We propose to use the **Gram kernel matrix** using Temporally Activated Units.

$$\frac{2}{n|S|} \sum_{i \in [n], j \in S} \underline{k(x_i, x_j)} - \frac{1}{|S|^2} \sum_{i, j \in S} \underline{k(x_i, x_j)}$$

Kernel evaluates the similarity

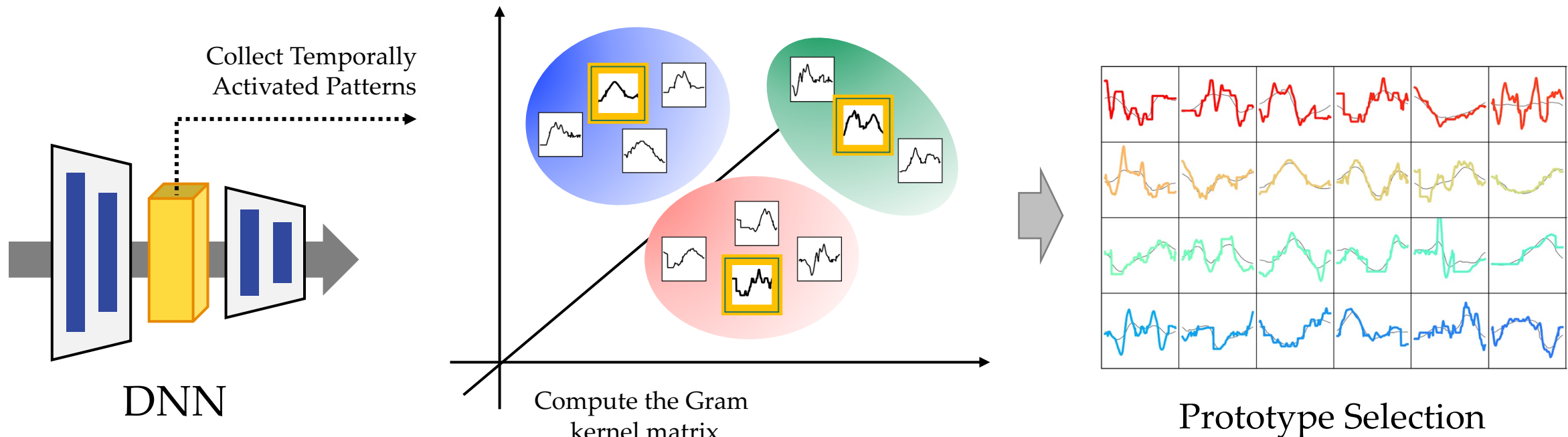


Construct a Gram matrix

$$\begin{pmatrix} \phi(x_1)^T \phi(x_1) & \phi(x_1)^T \phi(x_2) & \dots & \phi(x_1)^T \phi(x_n) \\ \phi(x_2)^T \phi(x_1) & \phi(x_2)^T \phi(x_2) & \dots & \phi(x_2)^T \phi(x_n) \\ \vdots & \vdots & \ddots & \vdots \\ \phi(x_n)^T \phi(x_1) & \phi(x_n)^T \phi(x_2) & \dots & \phi(x_n)^T \phi(x_n) \end{pmatrix}$$

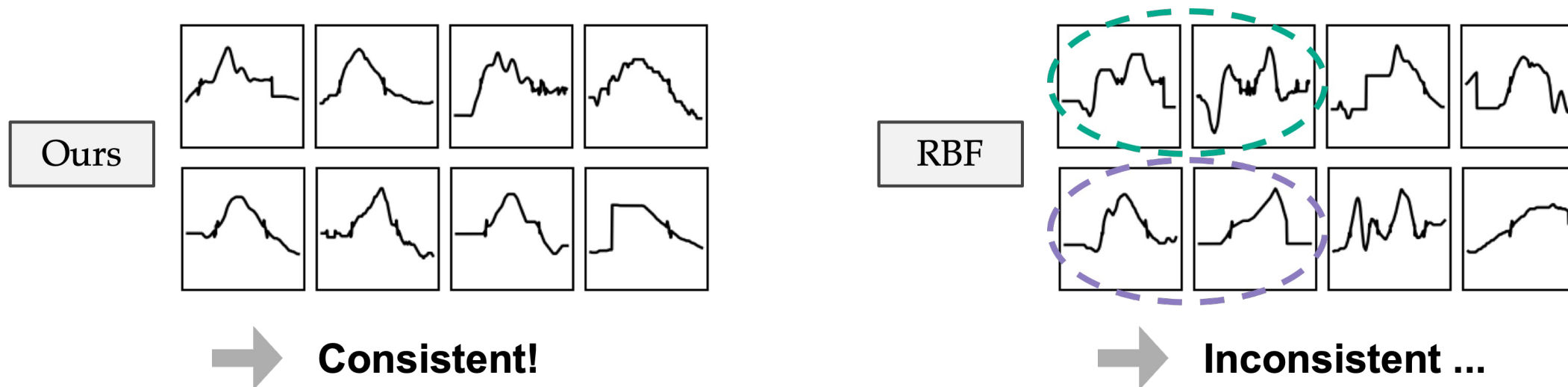
# Prototype Selection in the feature space

- We propose to select prototypes with feature activations from the internal nodes of the neural network.
- We use the **Gram kernel matrix** constructed by feature vectors to use the greedy selection algorithm → **Prototypes of Temporally Activated Patterns (PTAP)**



# Effectiveness of PTAP

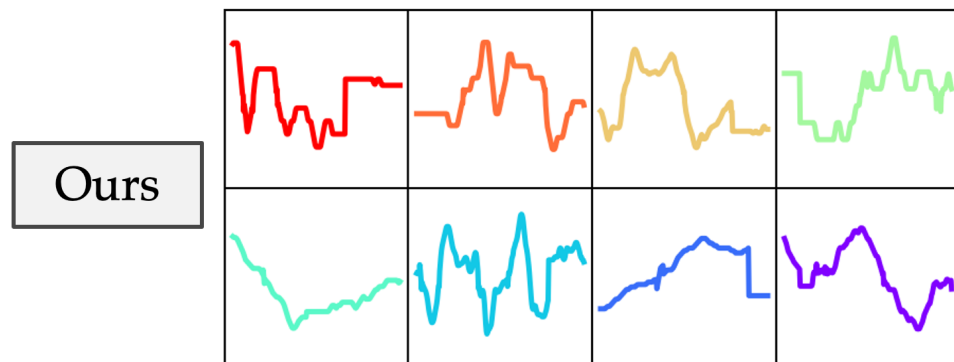
- The **Gram kernel matrix** is useful to capture learned temporal patterns.
- What is a good prototype for temporal data?
  1. Each prototype group must have a coherent pattern.



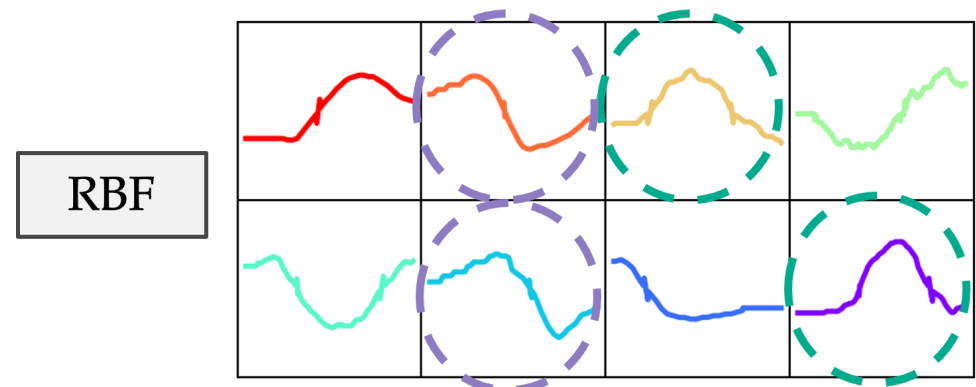
\* Radial basis function (RBF) kernel:  $\exp(-2\gamma\|x_i - x_j\|_2^2)$  with large  $\gamma$

# Effectiveness of PTAP

- The **Gram kernel matrix** is useful to capture learned temporal patterns.
- What is a good prototype for temporal data?
  1. Each prototype group has a coherent pattern.
  2. Prototypes have different shapes from each other.



➔ **Various shapes!**

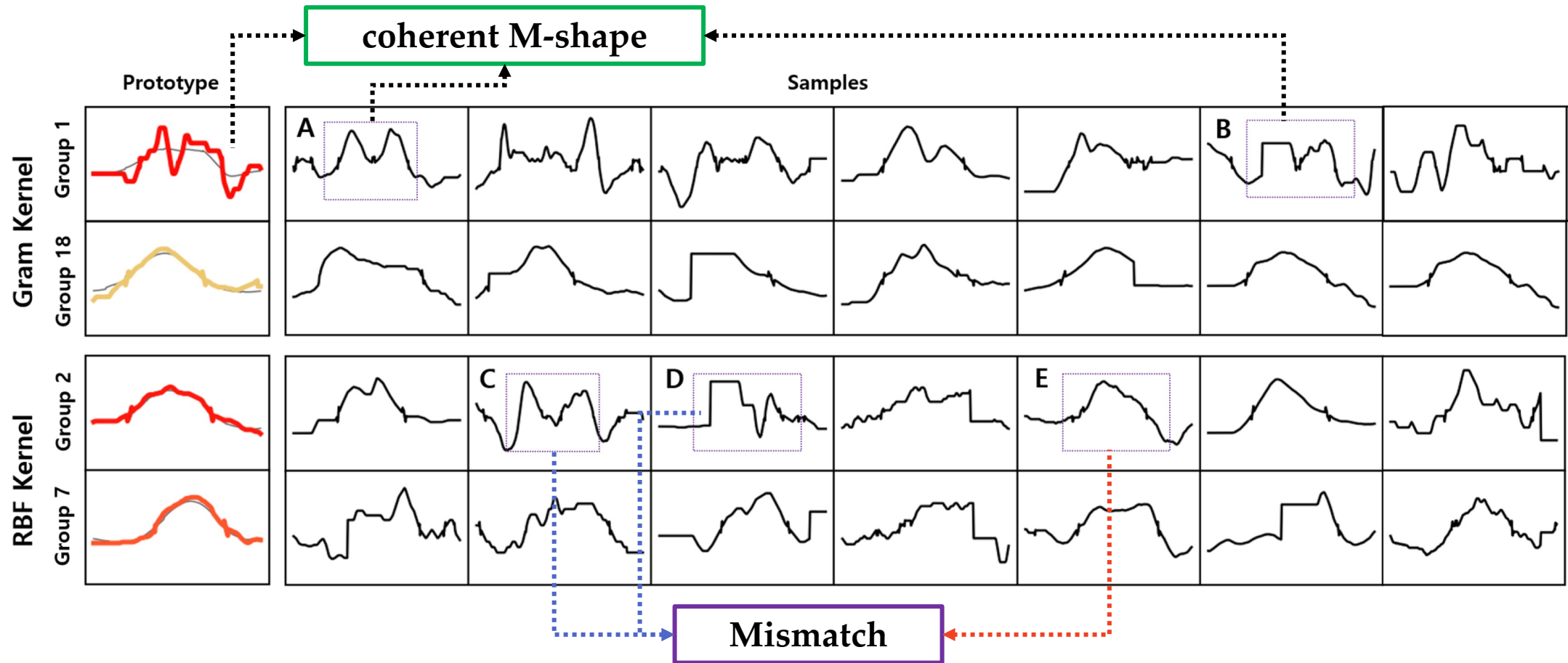


➔ **Shifted & Smoothed ...**

\* Radial basis function (RBF) kernel:  $\exp(-2\gamma\|x_i - x_j\|_2^2)$  with large  $\gamma$

# Effectiveness of PTAP

- The results of prototype selection with the Gram kernel matrix





# Understanding Distributed Representations of Concepts in Deep Neural Networks without Supervision

---

Wonjoon Chang\*, Dahee Kwon\*, Jaesik Choi

Korea Advanced Institute of Science and Technology (KAIST), South Korea

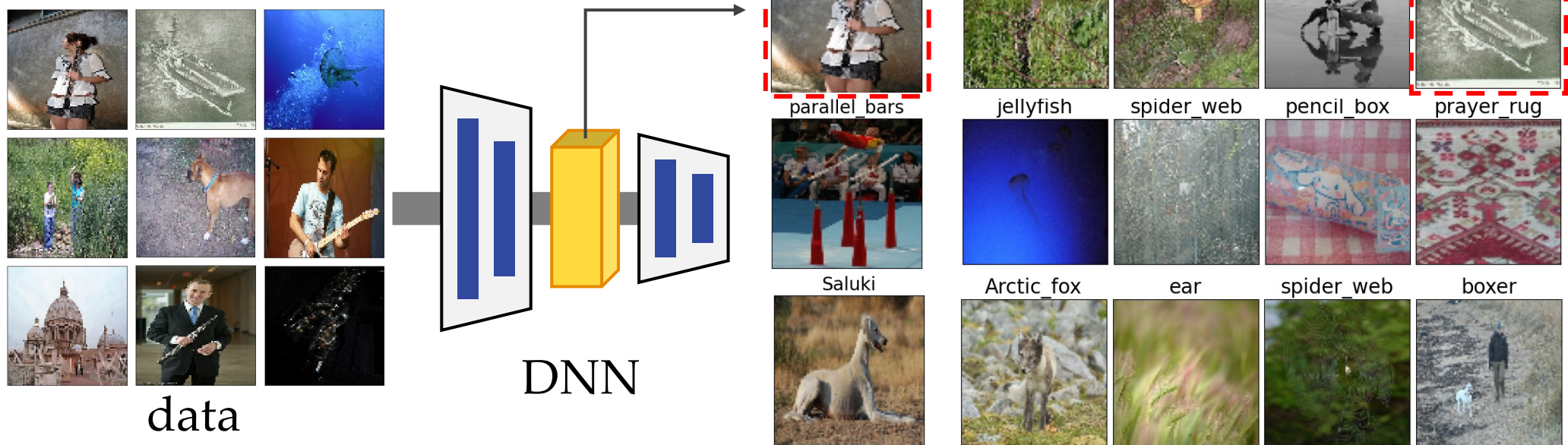
Statistical Artificial Intelligence Lab (SAILab)

\* Equal Contribution



# Challenges

- How can we reveal implicit representations in the intermediate feature space of DNN? → Group-level interpretation
- [Naïve approach]  
K-Nearest Neighbors



# Challenges

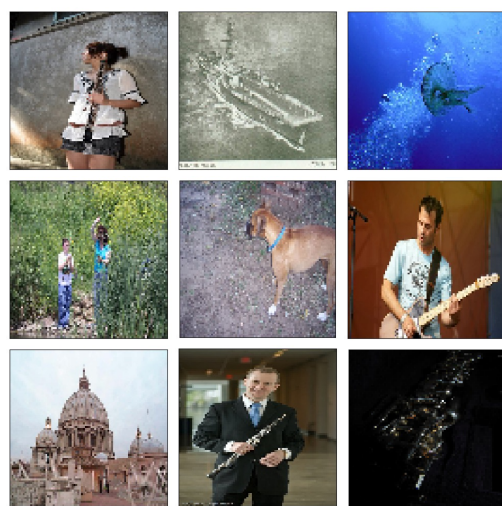
- [Challenge – *complex internal space*]

*DNN utilizes different information from data according to the local region of the internal space.*

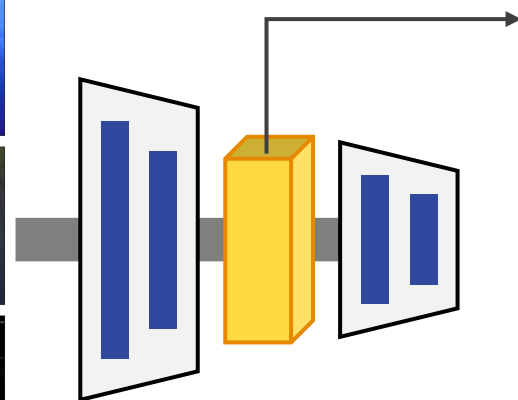
- [Idea]

( = *Configuration* )

*Evaluate the difference in **neuron activation states**!*

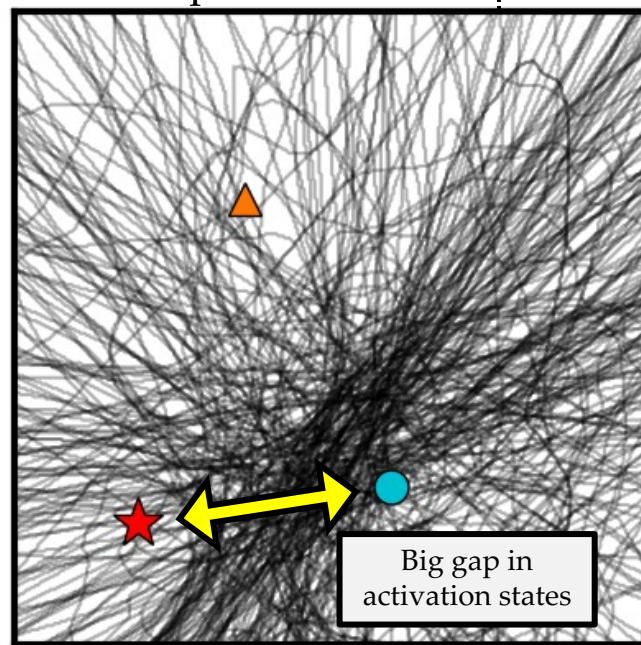


data



DNN

Feature space

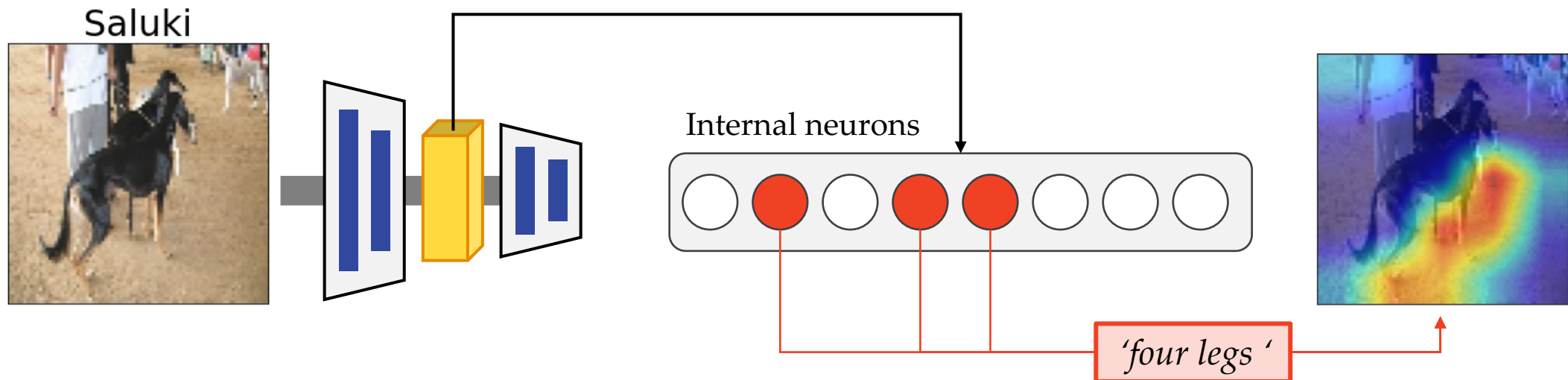


Each line represents a boundary where the activation state changes for each neuron.



# Distributed Representations

- *Distributed Representations*  
each concept that the model learned is represented by multiple internal neurons.
- Neuron activation states may be highly related to the concepts in DNNs.

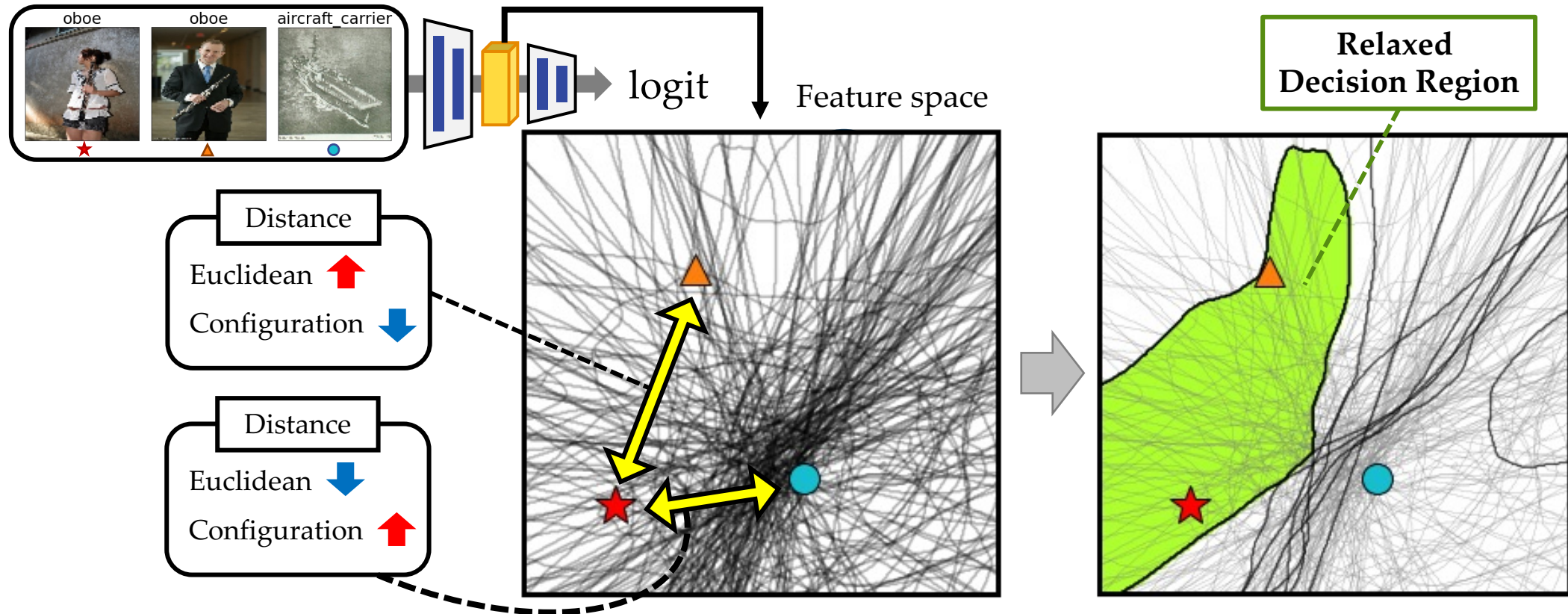


- Learning distributed representations of concepts, 1986
- Net2vec: Quantifying and explaining how concepts are encoded by filters in deep neural networks, 2018



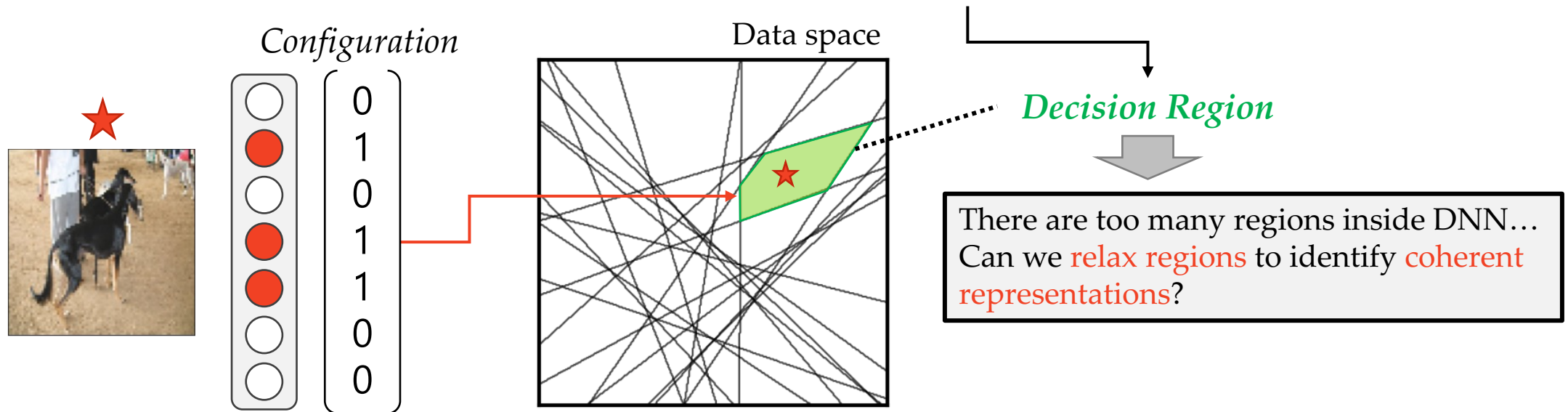
# Overview – Relaxed Decision Region

- Find a principal configuration where a target and relevant samples share learned representations by using configuration information.



# Configuration

- Why do we focus on neuron activation to capture representations of concepts?
- Configuration
  - a binary vector that represent activation states of neurons
  - *Configuration determines the mapping of DNN in the **local region** [3]*





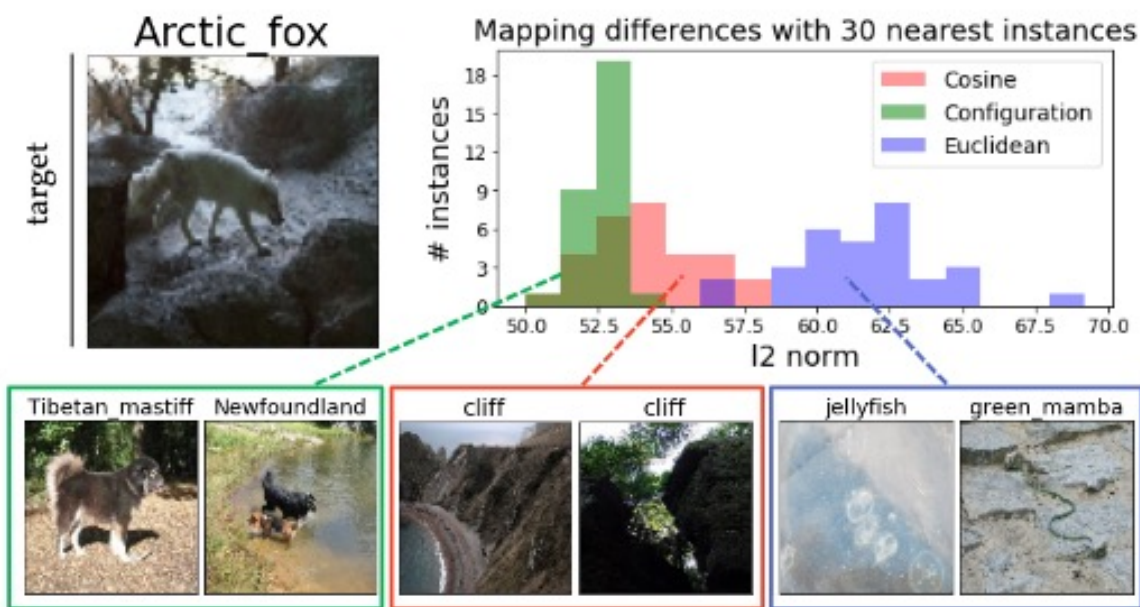
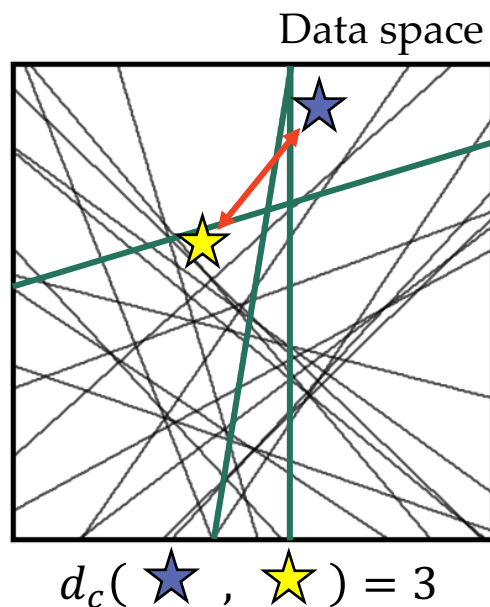
# Configuration Distance

- Definition

Given an instance  $x, \tilde{x} \in \mathcal{X}$ , the Configuration distance for a set of neurons  $N$  is defined as follows:

$$d_C(x, \tilde{x}) = d_H(c^N(x), c^N(\tilde{x}))$$

where  $d_H$  denotes the Hamming distance.



# Algorithm

- Select  $t$  principal neurons to construct an internal region that exhibits strong coherence with a target instance  $\mathbf{x}$ , while ensuring distinctiveness from irrelevant instances.

$$\begin{aligned} \min_{\substack{\mathbf{c}_p \in \{0,1\}^t \\ N^* \subset N}} & \mathbb{E}_{\mathbf{x}}[d_H(\mathbf{c}^{N^*}(\mathbf{x}), \mathbf{c}_p)] - \mathbb{E}_{\mathbf{y}}[d_H(\mathbf{c}^{N^*}(\mathbf{y}), \mathbf{c}_p)] \\ \text{s.t.} & |N^*| = t \end{aligned} \quad (5)$$

Exhibit strong **coherence** with the positive set,  
while ensuring **distinctiveness** from the negative set.

# Algorithm

- *positive set S*: automatically collect k-nearest neighbors *based on*  $d_c$



k=8

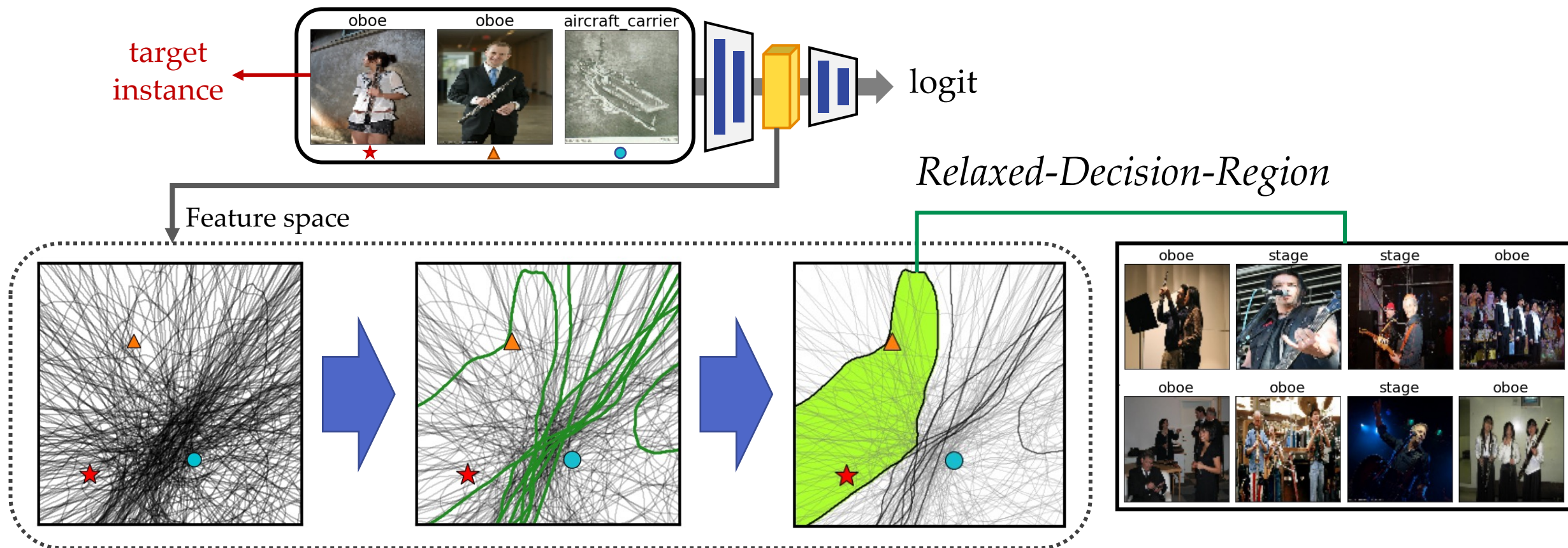
Configuration distance

- *negative set S<sub>neg</sub>*: easily sample from remaining data points



# Algorithm

- In greedy method, we sequentially select configuration that minimize Equation (5).



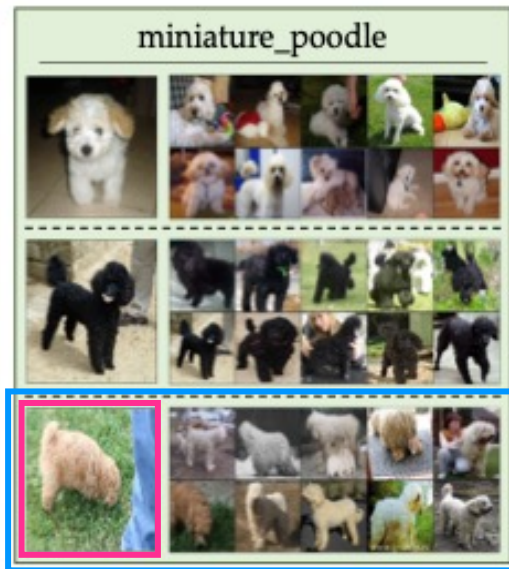


# Experiments

- Finding Unlabeled Subclasses

Mini-ImageNet

Flowers



target

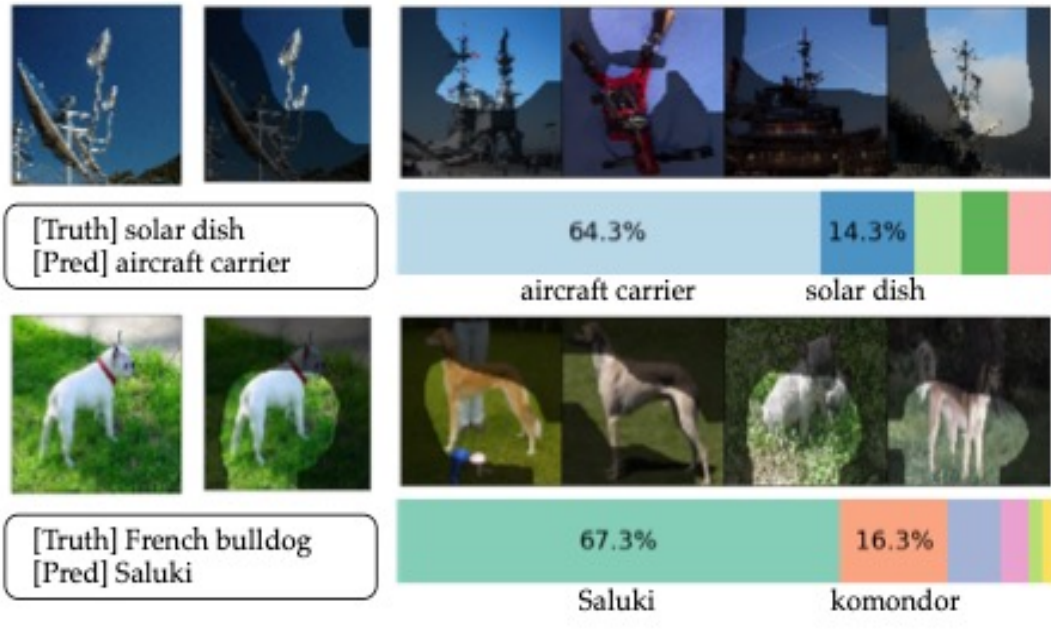
RDR

Different RDRs capture different learned concepts without prior knowledge of subclass information.

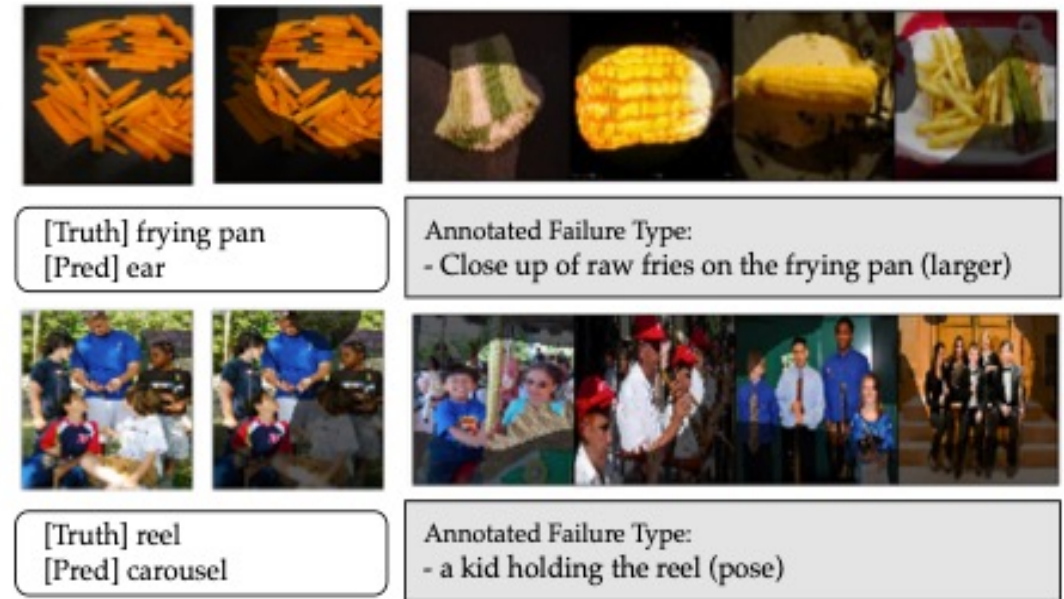
# Experiments

- Reasoning Misclassified Classes

## Mini-ImageNet



## ImageNet-X

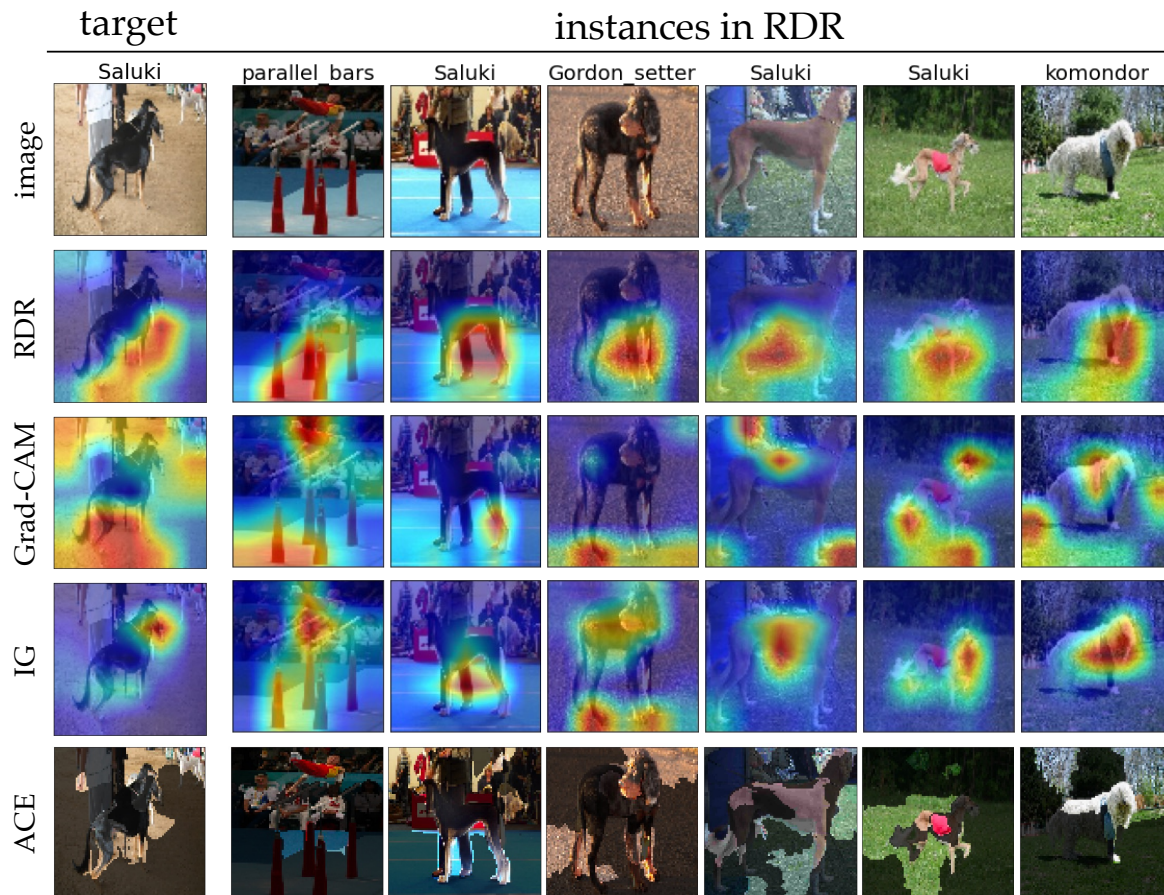


The target sample has similar properties with Saluki due to its long, thin legs



# Experiments

- Comparison with other XAI methods



	Purity			Entropy		
	VGG	RSN	MBN2	VGG	RSN	MBN2
<b>RDR</b>	<b>0.351</b>	<b>0.408</b>	<b>0.346</b>	<b>1.527</b>	<b>1.372</b>	1.531
$KNN_C$	0.303	0.328	0.329	1.588	1.497	<b>1.498</b>
$CAR_C$	0.022	0.038	0.036	2.264	2.153	2.527
$CAV_C$	0.314	0.387	0.323	1.549	1.416	1.575
RI	0.045	0.056	0.056	2.161	1.971	2.369
$RDR_{Euc}$	0.241	0.252	0.303	1.76	1.779	1.76
$KNN_{Euc}$	0.183	0.166	0.275	1.835	1.862	1.791
$CAR_{Euc}$	0.039	0.037	0.037	2.272	2.17	2.476
$CAV_{Euc}$	0.207	0.240	0.283	1.811	1.787	1.745
$RDR_{Cos}$	0.309	0.307	0.346	1.613	1.7	1.628
$KNN_{Cos}$	0.250	0.232	0.283	1.672	1.771	1.635
$CAR_{Cos}$	0.042	0.027	0.036	2.251	2.14	2.576
$CAV_{Cos}$	0.261	0.283	0.274	1.596	1.734	1.651

$$\text{Purity} = \frac{1}{T} \sum_{t=1}^T \mathbf{1}_{[y_t = \hat{y}]}$$

$$\text{Entropy} = \sum_{y: \mathcal{P}_y \neq 0} -\mathcal{P}_y * \log \mathcal{P}_y$$

where  $\mathcal{P}_y = \frac{1}{T} \sum_{t=1}^T \mathbf{1}_{[y_t = y]}$  (empirical distribution).

# Conclusion

## [Goal]

- Identify **the internal representations that DNN implicitly learned** for DNN interpretability without supervision.

## [Prototypes of Temporally Activated Patterns]

- We propose a new framework to interpret decision-making process of a temporal CNN classifier by **finding representative temporal patterns** detected by the networks.

## [Relaxed Decision Region]

- Our **Relaxed Decision Region** framework detects a principal configuration where a target and relevant samples share learned representations by using configuration information.

# Thank you!

Wonjoon Chang

SAILab, KAIST AI

one\_jj@kaist.ac.kr

2024.03.21

